## Radiation damage in protein crystals is reduced with a micron-sized X-ray beam

Ruslan Sanishvili<sup>a</sup>, Derek W. Yoder<sup>a</sup>, Sudhir Babu Pothineni<sup>a</sup>, Gerd Rosenbaum<sup>b</sup>, Shenglan Xu<sup>a</sup>, Stefan Vogt<sup>c</sup>, Sergey Stepanov<sup>a</sup>, Oleg A. Makarov (Олег Макаров)<sup>a</sup>, Stephen Corcoran<sup>a</sup>, Richard Benn<sup>a</sup>, Venugopalan Nagarajan<sup>a</sup>, Janet L. Smith<sup>a,d</sup>, and Robert F. Fischetti<sup>a,1</sup>

<sup>a</sup>National Institute of General Medical Sciences and National Cancer Institute Collaborative Access Team, Biosciences Division, Argonne National Laboratory, Argonne, IL 60439; <sup>a</sup>Department of Biochemistry and Molecular Biology, University of Georgia, Athens, GA 30602; <sup>a</sup>K-Ray Science Division, Argonne National Laboratory, Argonne, IL 60439; and <sup>a</sup>Life Sciences Institute, Department of Biological Chemistry, University of Michigan, Ann Arbor, MI 48109

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Radiation damage is a major limitation in crystallography of biological macromolecules, even for cryocooled samples, and is particularly acute in microdiffraction. For the X-ray energies most commonly used for protein crystallography at synchrotron sources, photoelectrons are the predominant source of radiation damage. If the beam size is small relative to the photoelectron path length, then the photoelectron may escape the beam footprint, resulting in less damage in the illuminated volume. Thus, it may be possible to exploit this phenomenon to reduce radiation-induced damage during data measurement for techniques such as diffraction, spectroscopy, and imaging that use X-rays to probe both crystalline and noncrystalline biological samples. In a systematic and direct experimental demonstration of reduced radiation damage in protein crystals with small beams, damage was measured as a function of micron-sized X-ray beams of decreasing dimensions. The damage rate normalized for dose was reduced by a factor of three from the largest (15.6  $\mu$ m) to the smallest (0.84  $\mu$ m) X-ray beam used. Radiation-induced damage to protein crystals was also mapped parallel and perpendicular to the polarization direction of an incident 1-µm X-ray beam. Damage was greatest at the beam center and decreased monotonically to zero at a distance of about 4 μm, establishing the range of photoelectrons. The observed damage is less anisotropic than photoelectron emission probability, consistent with photoelectron trajectory simulations. These experimental results provide the basis for data collection protocols to mitigate with micron-sized X-ray beams the effects of radiation damage.

microcrystallography | synchrotron radiation

The brilliance of synchrotron radiation from undulator devices on third-generation sources has been an enormous boon to crystallography of biological macromolecules. The high flux density and low divergence of undulator beams led to a rapid decrease in the minimum crystal size and minimum beam size that can yield usable diffraction data (1–4). However, the resulting decrease in diffracting volume necessitates an increase in X-ray exposure per unit sample volume, increasing radiation damage and severely compromising the substantial benefits of brilliant undulator sources. Thus, there is considerable interest in understanding the mechanism and spatial extent of X-ray-induced damage to crystals of biological macromolecules.

Diffraction experiments are typically performed at cryotemperatures (approximately 100 K) to prevent the diffusion of free radicals, which are a major source of damage in crystals exposed to X-rays at higher temperatures (5), but cryocooling does not eliminate X-ray damage. Many experimental approaches to circumventing the effects of radiation damage have been investigated (6–10) but have not yet yielded a breakthrough result. Zero-dose diffraction intensities have been extrapolated from measured values by mathematical modeling (7–9). The effects of radiation damage have also been exploited for crystallographic phase determination (10–16). Nevertheless, it remains a goal to eliminate or minimize structural damage in order to avoid artifacts in the resulting structures and erroneous mechanistic interpretations.

Of several potential sources of X-ray-induced damage to cryocooled samples at typical energies (6-18 keV), the main contributor is thought to be energy deposited by photoelectrons that are generated by the interaction of incident X-ray photons with the sample. The photoelectrons deposit energy as they traverse the sample and are scattered by atoms. The energy deposited as a function of distance increases as the photoelectron energy decreases from successive interactions. Photoelectrons are emitted preferentially along the polarization direction of the X-ray beam, leading to an expectation of anisotropy in photoelectron emission (17) and therefore in photoelectron-induced damage. Monte Carlo simulations of photoelectron trajectories predicted that the greatest energy deposition in the photoelectron flight path was a few microns from the photoelectron origin, and that the photoelectrons would escape the illuminated crystal volume if the crystal (18) or the beam (19) were small relative to the photoelectron path length. Thus, there is a potential for reducing radiation damage if the incident X-ray beam size is decreased beyond the microbeams now in use, which are as small as 5–10 μm (20-22). Photoelectron transfer of energy out of the beam footprint causing "penumbral damage" is well known in radiology and has been observed in studies with high-energy (MeV) X-rays (23). Other sources of energy deposition outside the illuminated volume such as Compton scattering and Auger emission can be ruled out because both Compton and Auger electrons are of low average energy (<1 keV) and have a very short range (<100 nm) in the protein medium.

Here, we investigate the hypothesis that energy can be transferred out of the footprint of a micron-sized beam on a protein crystal, thereby reducing radiation damage within the illuminated volume relative to the situation with a larger beam. We also measure the spatial extent of radiation damage outside the beam footprint and test whether the damage is distributed anisotropically relative to the polarization direction.

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¹To whom correspondence should be addressed. E-mail: rfischetti@anl.gov.

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## **Results**

Radiation Damage Varies with Beam Size. If the primary source of radiation damage in cryocooled protein crystals is the energy deposited by photoelectrons, then the fraction of the energy of the absorbed photon that is deposited in the beam footprint will decrease as beam size is decreased below the length scale of photoelectron energy deposition. We sought to detect this effect using an 18.5-keV X-ray beam of six sizes ranging from 15.6- to 0.84-µm average diameter, achieved using two different optical configurations of beamline 23ID-B at the Advanced Photon Source (Figs. S1 and S2; Tables S1 and S2). To evaluate damage, repeated diffraction images were recorded from a fixed position on a large lysozyme crystal, using an unexposed volume for each beam size. Damage was monitored as the decrease of the sum of intensities of all Bragg peaks in each image, normalized to the total intensity in the first image. For each diffraction image, the physical and chemical properties of the sample and the intensity, size, and shape of the X-ray beam were used to calculate the dose, assuming no photoelectrons escaped the illuminated volume (24). We designate this as the "calculated dose." For each beam size, the normalized intensity decreased linearly with increasing dose, indicative of radiation damage (Fig. 1A). However, the damage rate (slope of the intensity vs. calculated dose

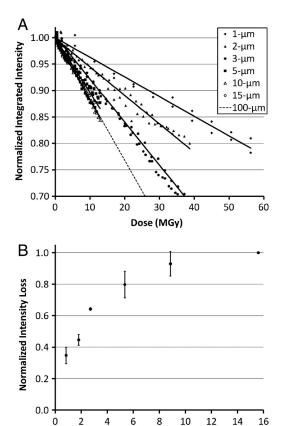


Fig. 1. Damage per calculated dose as a function of beam size for 18.5-keV X-rays. (A) Normalized sum of all diffracted intensities as a function of calculated dose for data collected with average beam diameters of 0.84, 1.81, 2.71, 5.35, 8.85, and 15.6  $\mu m$ . Doses were calculated using RADDOSE (24). The dotted line represents an assumed linear rate of damage for which the intensity decays to 50% at a dose of  $4.3\times10^7$  Gy, as measured with a 100- $\mu m$  beam (25). (B) Damage rate as a function of beam diameter. The slope of each curve in A was normalized by the value at 15.6  $\mu m$ . The beam size is the average of the horizontal and vertical beam sizes. The data were collected by rotating the crystal through 1° about the horizontal axis; and therefore a small correction was applied to account for the swept volume (Table S3). Error bars represent  $\pm 1\sigma$  of multiple experiments.

Beam Size (µm)

curve) changed with beam size for beams up to about 10  $\mu$ m. The damage rate for the largest beam used (15.6  $\mu$ m) is comparable to measurements with a 100- $\mu$ m beam (25). The decreased rate with decreasing beam size clearly indicates the transfer of deposited energy out of the illuminated volume. To further illustrate the beam-size effect, the radiation damage per calculated dose was determined as a function of beam size (Fig. 1B). The damage rate normalized for the calculated dose was reduced by a factor of three from the largest (15.6  $\mu$ m) to the smallest (0.84  $\mu$ m) X-ray beam used. The rate of energy deposited per mass of irradiated crystal dropped sharply for beam sizes less than 5  $\mu$ m. These results are a direct experimental demonstration of reduced radiation damage in the volume of a protein crystal illuminated with micron-sized, hard X-ray (keV) beams.

Spatial Extent of Radiation Damage. We next investigated how far radiation damage extends beyond the beam footprint in a protein crystal. The goal was to record with high spatial resolution the damage distribution around a central "burn" position. A single position on a large lysozyme crystal was subjected to several burn doses of radiation. Each burn dose was preceded and followed by probe measurements at several positions offset from the burn position by steps of  $1.00 \pm 0.05 \,\mu m$  in directions parallel (horizontal) or perpendicular (vertical) to the polarization direction (Fig. 2A). Damage was monitored as the fractional change in total integrated diffraction intensity  $(\Delta_i)$  at the probe positions. By this measure, damage extended to at least 9 µm from the burn position (Fig. S3A). However, two sources of unintentional damage contributed to this result. First, the probe measurements themselves were a source of damage, which we sought to remove from the data. In each experiment at each probe position, the measured fractional decay value  $(\Delta_i)$  was corrected for probe damage by subtraction of the fractional decay per image calculated from a series of successive images measured with the same parameters as the probe images (Fig. S4). This probe-damage correction reduced the baseline of damage at all probe positions, but again residual damage persisted to 9 µm, the farthest distance probed from the burn position (Fig. S3B).

A second source of unintentional damage was from beams at neighboring probe positions. Adjacent probes directly overlapped because the width of the probe beam was comparable to the spacing between probe positions. Additionally, photoelectrons escaping from neighboring probes may deposit energy outside the footprint of the 1-µm probe beam. These considerations were the impetus for using the isolated-probe protocol (Fig. 2B), which does not suffer from neighbor effects. The fractional damage for experiments using the isolated-probe protocol was significantly reduced at remote positions from the burn position compared to contiguous-probe experiments (Fig. S3A vs. Fig. S3C). After probe-damage correction, data from the isolated-probe experiments showed no evidence of damage at the probe positions most distant from the burn position (Fig. S3D). Thus, the

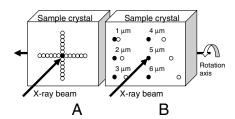


Fig. 2. Experimental design to measure the spatial extent of radiation damage. (A) Contiguous-probe protocol. Probe measurements (open circles) were recorded at each position in the pattern before and after each burn dose at the burn position (filled circle). (B) Isolated-probe protocol. The probe measurements (open circles) were not contaminated by neighboring probe measurements because a separate burn position (filled circles) was used for each probe position.

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isolated-probe protocol allowed a direct measurement of the damage distribution. Using the isolated-probe protocol, 15 experiments on 8 crystals were carried out, and the results for each probe position at each energy were averaged.

The maps of the spatial extent of radiation damage in the horizontal and vertical directions for 15.1-keV and 18.5-keV X-rays (Fig. 3) displayed a high degree of symmetry, demonstrating the accuracy and reproducibility of sample motion during multiple probe-burn-probe sequences, consistent with the <100 nm measured reproducibility, accuracy, and stability of the mechanical motions in the experimental setup. The plots for successive probe-burn experiments showed an increase of damage with accumulated dose at the same position. The observed damage profile was greatest at the center and decayed monotonically to zero within measurement error at a distance of about 4 µm for all doses at the two incident X-ray energies. The average half-width at half-maximum (HWHM) of the damage profile at 15.1 keV was  $1.83 \pm 0.15$  µm horizontally and  $1.54 \pm 0.16$  µm vertically, using a beam with full-width at half-maximum (FWHM) dimensions 1.16  $\mu$ m × 1.18  $\mu$ m (horizontally × vertically, H × V) (Fig. 3 A and C). However, despite the small beam width, the 15.1-keV burn beam significantly overlapped the first probe position and partially overlapped the second. To more accurately measure the width of the damage distribution, a finer probe beam of dimensions 0.88  $\mu$ m  $\times$  0.80  $\mu$ m H  $\times$  V (FWHM) was used. The X-ray energy also was increased to 18.5 keV to increase the photoelectron range owing to the reduced stopping power of the protein medium at higher energies. The resulting HWHM was  $1.84 \pm 0.15 \,\mu m$  horizontally and  $1.21 \pm 0.03 \,\mu m$  vertically (Fig. 3 B and D). Accounting for beam size, the width of the damage distribution increased by 3% horizontally and decreased by 20% vertically as the X-ray energy was increased from 15.1 to 18.5 keV. The combination of fine focus, high energy, and isolated-probe protocol led to nonoverlapping burn and probe beams at 18.5 keV (except for partial overlap with the 1-µm probe

position), which allowed direct measurement of the magnitude of damage.

The horizontal and vertical damage profiles for the maximum dose used in the experiment at 18.5 keV were each averaged about the origin in order to compare them with the best-fit Gaussian profile of the beam (Fig. 4). The damage profiles are slightly anisotropic with a lower magnitude of damage at a given distance from the burn center in the vertical than in the horizontal direction. The spatial extent of the damage exceeded the beam width by a factor of 4.2 in the horizontal and 3.0 in the vertical direction, demonstrating penumbral damage. This is consistent with results on the beam-size dependence of damage rate (Fig. 1).

Monte Carlo Simulations. To reconcile our experimental results with photoelectron emission theory, photoelectron trajectories were calculated to model the distribution and spread of photoelectrons. The X-ray beam from Advanced Photon Source Undulator A was 99% transversely polarized in the horizontal plane, resulting in an anisotropic photoelectron emission probability. The photoelectric cross-section for s-shell electrons is typically an order of magnitude greater than for other shells and is the source of over 95% of the photoelectric cross-section for low-Z elements. Thus, the probability of ejection of a photoelectron is symmetric about the polarization vector, and is approximately proportional to  $\cos^2(\theta)d\Omega$ , where  $\theta$  is the angle between the directions of polarization and emission and  $d\Omega$  is the solid angle (17). A photoelectron is deflected randomly by each collision, and the average deflection angle increases with decreasing energy of the electron. Therefore, the trajectories of the photoelectrons spread with increasing distance from the origin.

Previous simulations (18) considered only photoelectron trajectories that originated from a single point with an initial vector along the polarization direction ( $\theta = 0^{\circ}$ ). For a more realistic model of the distribution of energy deposited by photoelectrons, Monte Carlo simulations of the photoelectron trajectories were

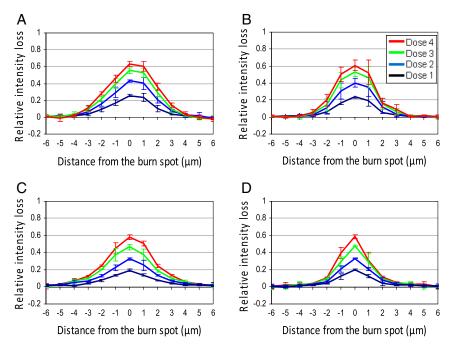


Fig. 3. Spatial extent of radiation damage in lysozyme crystals. Fractional loss of total integrated reflection intensity as a function of distance from the burn position in the horizontal and vertical directions for four probe-burn-probe sequences at 15.1 keV (beam size:  $1.16 \mu m$  FWHM horizontal;  $1.18 \mu m$  vertical) and 18.5 keV ( $0.88 \mu m$  horizontal;  $0.80 \mu m$  vertical). The dose was increased by equal increments for the damage distribution curves in the order black, blue, green, and red. (A) Damage in the horizontal direction at 15.1 keV. (B) Damage in the horizontal direction at 18.5 keV. (C) Damage in the vertical direction at 18.5 keV. The damage is greatest at the center and decays monotonically to zero (within experimental error) by  $4 \mu m$  from the beam center for both energies. Error bars represent  $\pm 1\sigma$  of multiple experiments.

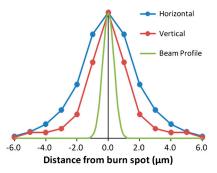


Fig. 4. Comparison of spatial extent of radiation damage and the beam size at 18.5 keV. The blue and red curves show the damage distribution in the horizontal and vertical directions, respectively. The data from the red curves (maximum dose) in Fig. 3 B and D were averaged about the origin and then normalized at the origin. The widths of the damage distributions are significantly larger than the width of the X-ray beam (green line, average FWHM = 0.84  $\mu$ m), and are slightly anisotropic.

conducted using 2,000 points within a 1-µm-diameter beam as the source for trajectories that initially were parallel to the polarization vector at photoelectron energies of 14.4 keV and 17.8 keV (Fig. 5A). These energies correspond to 15.1- and 18.5-keV X-ray photons, respectively, given the 0.7-keV average binding energy of s-shell electrons. As expected, the trajectories at the higher energy penetrated the sample further and spread over a larger volume than did those at the lower energy.

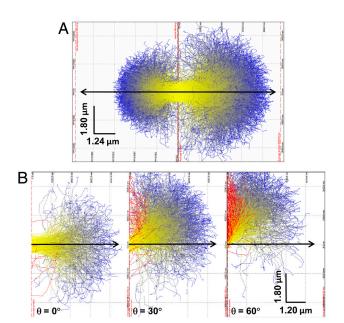


Fig. 5. Monte Carlo simulation of photoelectron trajectories. (A) 2,000 trajectories for photoelectrons of energy 14.4 keV (Left) and 17.8 keV (Right) in a protein crystal. Trajectories originated at  $\theta = 0^{\circ}$  (parallel to the polarization vector, black arrow) and simulate a 1-µm diameter X-ray beam directed into the viewing plane. The 3D mushroom-like distribution is projected onto a horizontal plane and colored from yellow to blue with decreasing photoelectron energy. The spatial extent of the trajectories is considerably shorter for the 14.4-keV electrons than for the 17.8-keV electrons. Only one half of each symmetric distribution is shown. (B) Trajectories of photoelectrons emitted at 0° (Left), 30° (Middle), and 60° (Right) relative to the polarization vector. The number of electrons in the simulation was proportional to the probability of ejection within azimuth angles between 0° and 20° for the 0° beam angle simulation, 20° and 45° for the 30° simulation, and 45° and 75° for the 60° simulation. Actual trajectories are distributed isotropically around the polarization vector for the given azimuth angle range. The strong curvature of the photoelectron trajectories at lower energy toward the end of their travel results in some backscatter (red).

The spatial distribution of the radiation damage about the burn position depends on the true photoelectron emission angle and on the scattering of the photoelectron as it traverses the sample. The full distribution was approximated by carrying out simulations at three emission angles, each weighted by the solid angle for a given  $\Delta\theta$  angular range about the emission angle (Fig. 5B). These projections indicate that as the emission angle of the initial trajectory increases from  $\theta=0^\circ$  to  $30^\circ$  to  $60^\circ$ , a significant fraction of the photoelectrons scatter in the vertical direction and deposit energy perpendicular to the polarization direction. These simulations are consistent with our observation that the damage distribution is weakly anisotropic; i.e. the magnitude of damage at a given distance from the burn center is only slightly less in the direction perpendicular than in the direction parallel to the polarization direction (Fig. 4).

## Discussion

Our experiments with a micron-sized probe beam tracked radiation damage as the loss of intensities in Bragg peaks from cryocooled lysozyme crystals. Radiation damage increased linearly with dose for each beam size studied at 18.5 keV (Fig. 1A). The decay rate (loss of diffracted intensity per calculated dose) for the largest beam (15.6 µm) was in good agreement with previous measurements using a 100-µm beam (25). However, as the beam size was decreased from 15.6 to 0.84 µm, the decay rate decreased, indicating a reduction of damage per calculated dose within the beam footprint and implying the transfer of some energy out of the illuminated volume. In another set of experiments with an approximately 1-µm beam, the spatial distribution of the radiation damage (Fig. 3) indicated that damage was greatest at the beam center and decayed monotonically to zero within experimental error at a distance of about 4  $\mu m$  for all doses at the two incident X-ray energies. These results are a clear demonstration of damage outside the beam footprint in cryocooled protein crystals, providing direct evidence of photoelectron escape from the illuminated volume.

The measurement of decay as a function of beam size (Fig. 1B) is direct evidence that radiation damage within the diffracting volume can be reduced by reducing the size of the X-ray beam, as proposed by Nave and Hill (18). Several observations of greater than anticipated robustness of very small (<10 µm) crystals (26, 27) or of larger crystals probed with very small (<10 μm) beams (3, 28, 29) have been attributed to photoelectron escape from the illuminated volume and provided indirect evidence of this phenomenon. In our direct measurements, the sample survivability was increased by a factor of three over the range of beam sizes studied with 18.5-keV incident X-rays. Others have calculated as high as a 10-fold small-beam enhancement, defined as the ratio of the elastic scattering to inelastic scattering crosssections, based on the assumption that photoelectrons transport energy out of micron-sized crystals or out of the footprint of micron-sized beams (3, 19).

In typical crystallographic experiments with beams larger than 15 μm and X-ray energies near 12 keV, the damage transferred out of the beam footprint is negligible. Common calculations of dose (energy deposited per unit sample mass) for a specific experiment (sample content and size; photon energy; beam intensity, size, and shape; and exposure time) assume all the energy of the absorbed photons is deposited within the illuminated volume and are well adapted to the typical situation (24). However, for small beams, some energy escapes the beam footprint and results in a penumbral dose in the volume surrounding the beam footprint. This effect was observed as an intensity loss at the probe positions in our experiments (Fig. 3). Thus, dose calculations for small beams need revision to properly describe the actual dose within the diffracting volume. Similarly, dose-based estimates of the minimum crystal size required to obtain useful diffraction data (2) need to be revised to include photoelectron escape.

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The observed damage profile places an upper limit on the range of the photoelectron from the beam center for the given incident X-ray energy and beam size. The photoelectron range is of great practical importance in microcrystallography because it permits calculation of the minimum sample translation required to expose an undamaged crystal volume to the beam. The intrinsic rms width of the photoelectron-induced radiation damage is estimated to be  $\sigma_{\rm pe}=1.52~\mu{\rm m}$  in the horizontal and 0.97 µm in the vertical directions at 18.5 keV, based on deconvolution of the observed beam width ( $\sigma_b = 0.37 \, \mu \text{m} \times 0.34 \, \mu \text{m}$ ,  $H \times V$ ) from the observed width of the damage ( $\sigma_d = 1.56 \mu m \times$ 1.03  $\mu$ m, H  $\times$  V), assuming a Gaussian profile for the damage distribution. Thus, a sample translation of 4.0 µm would expose a fresh volume to a 1-µm beam at 18.5 keV, as would translations of 5.2 μm for a 2-μm beam, 6.5 μm for a 3-μm beam, 9.5 μm for a 5-μm beam, and 17.5 μm for a 10-μm beam (based on an overall  $4\sigma$  separation).

The spatial extent of the damage exceeded the beam size by a factor of 3.0 in the vertical direction and 4.2 in the horizontal for 18.5-keV incident X-rays (Fig. 4). The horizontal damage distribution was negligibly different at 15.1 and 18.5 keV because of the offsetting experimental factors of a larger beam at 15.1 keV and a greater photoelectron range at 18.5 keV. In contrast, the vertical distribution was narrower at 18.5 keV than at 15.1 keV, most likely due to the reduced probability that a photoelectron will scatter back to the vertical axis at the higher energy (Fig. 5). Our measured results are in good agreement with Monte Carlo simulations of photoelectron trajectories in a medium of average electron density equivalent to a typical protein crystal, considering the approximations of a homogeneous, noncrystalline medium, and the planar geometry of the simulations (18) (Fig. 5A). Our measurement of a 4-μm spatial extent of damage with a nearly circular beam of width 0.84 µm (FWHM) and of energy 18.5 keV (Fig. 3) differs from an estimate of photoelectron penetration depth of 1.5 µm, based on data from a linefocus beam with a width of 2.47 µm (FWHM) and an energy of 18.6 keV (30). The line-focus experiment used a protocol similar to our contiguous-probe approach yielding similar raw data (Fig. S3A). Our isolated protocol, which is free of probe-damage artifacts, provides a direct measure of the photoelectron penetra-

For a photoelectron ejected from an atom in a crystal, the energy deposited as a function of distance traveled is low at first and increases rapidly as the photoelectron scatters inelastically, losing energy with each collision until eventually it is recaptured. It was predicted that a 20-keV electron could travel a little farther than 4  $\mu m$  (18) and that the energy deposition profile for 20-keV photons would have a peak at approximately 4  $\mu m$  from the site of photoelectron ejection (31). However, the calculated profile is strongly dependent on the relative cross-sections of the sample and beam and on the solid angle of detection used in the calculations. No peak of any statistical significance was observed in our data other than the central peak. The monotonic decrease in damage with distance from the beam center (Fig. 3) is in better agreement with other calculations that employed a geometry more similar to our experimental conditions (3).

The increased range of photoelectrons with increasing incident X-ray energy is clear in the side-by-side Monte Carlo simulations for 1- $\mu$ m-diameter beams with incident X-ray energies of 15.1 and 18.5 keV, in which the photoelectrons are ejected with an initial trajectory parallel to the polarization direction (Fig. 5A). The trajectories initially are straight, but they deviate significantly from the emission direction toward the end of their travel, resulting in a mushroom-shaped distribution. A more accurate representation of the spatial extent and distribution of energy deposition in protein crystals must include the angular distribution  $[\cos^2(\theta)d\Omega]$  of the photoelectron emission probability. As the angle of the initial trajectory increases from 0 to 60°, the

electron trajectories show an increasing probability of energy deposition perpendicular to the polarization direction; i.e., along the vertical axis (Fig. 5B). Our simulations with a 1-µm beam are consistent with our experimental observations that the degree of anisotropy of the distribution of damage in the horizontal and vertical directions is less than expected based on previous simulations (18).

Our measurements show that the crystal dimension in the direction parallel to the polarization vector need not be thin to exploit the photoelectron escape effect. If either the beam or the crystal is small, the photoelectron can escape the diffracting volume. Damaging photoelectrons will be generated in any nondiffracting part of the sample (crystal mounting solution) that is in the beam path, so it is important that the beam intercept only a volume that diffracts. It has been proposed that one or several line-focused beams (focused to micron dimensions in the horizontal direction and tens of microns in the vertical direction) could be used to take advantage of the photoelectron path length and anisotropic geometry of the emission probability to reduce radiation damage (30). However, our direct mapping of damage using a submicron, nearly circular probe beam shows substantial damage in both the vertical and horizontal directions at 18.5 keV, suggesting that calculations need revision to account for the observed low anisotropy and to accommodate more realistic experimental geometries. The observed anisotropy, although small, argues that a crystal rotation axis parallel to the beam polarization direction is optimal in data collection protocols that exploit photoelectron escape.

An incident X-ray energy greater than 18.5 keV would generate a higher-energy photoelectron, which would deposit a greater fraction of its energy outside the beam footprint than observed in our experiments. However, the Compton-scattering cross-section also increases with X-ray energy, resulting in more energy deposition within the illuminated volume of the crystal. Despite this increase, the Compton-scattering cross-section is considerably less than the photoelectric cross-section (32). By considering the competing photoelectron and Compton effects, an optimal energy for minimum radiation damage per dose was calculated to be between 20 and 40 keV for crystal or beam sizes between 1 and 15 μm (19). The 20–40 keV energy range should be explored to determine whether protocols based on photoelectron escape are practical for microcrystallography. Detectors optimized for this energy range may be needed to fully explore the potential of 20–40 keV X-ray beams.

We have shown that for cryocooled protein crystals, radiation damage per calculated dose of 18.5-keV X-rays decreased 3-fold as the beam size was decreased from 15.6 to 0.84  $\mu m$  because some energy escaped the beam footprint. In addition, we mapped the spatial distribution of radiation damage about a 1- $\mu m$  beam, showing that the extent was limited to approximately 4  $\mu m$  and that the radius of the damage was 4-fold greater than the beam radius. These two observations strongly support the concept that photoelectrons carry energy out of the footprint of micron-sized beams, thereby reducing radiation damage within the illuminated volume. With even smaller samples or beams and/or higher X-ray energies, it may be possible to exploit this phenomenon to record diffraction, spectroscopic, or imaging data of higher quality with fewer artifacts from photoelectron-induced damage.

## **Methods**

The radiation damage experiments were performed on beamline 23-ID-B of the Advanced Photon Source, Argonne National Laboratory (33). The wide range of beam sizes required two different optical configurations (Fig. S1). Beam sizes between 5 and 15  $\mu m$  with a nearly circular cross-section were achieved by using minibeam collimators (20) in combination with focusing mirrors (Table S1). For beam sizes less than 5  $\mu m$ , circular Fresnel zone plate optics (34) were used to focus the beam at the sample position. The convergence angle of the beam at the sample position was quite small compared to

the sample rocking curve width, allowing all reflections to be fully resolved for all beam sizes and all samples used in these experiments (Table S2).

Total flux was monitored during the experiment with an "active" beamstop (35). Flux densities were derived from the measured beam profiles and the total flux (Table S1; see SI Text for details). Essential modifications were made to the experimental endstation instrumentation to achieve the desired approximately 0.1- $\mu$ m stability, accuracy, and reproducibility of the beam position and sphere-of-confusion of the goniometry, which should be <10% of the beam or sample size (35). These parameters were verified using an optical autocollimator (LDS1000, Newport Corp.) with an objective lens (M Plan Apo 20×, Mitutoyo Corp.) to achieve approximately 50-nm resolution.

Diffraction measurements were made from tetragonal chicken egg-white lysozyme crystals with dimensions of 50–300  $\mu m$  perpendicular to the beam and 30–60  $\mu m$  along the beam. Crystals were mounted in MiTeGen micromounts (MiTeGen, LLC) to minimize the amplitude of vibrations in the stream of cold  $N_2$  gas that maintained the crystals at 100 K during measurements. Decay was monitored as the change in the sum of intensities (I) of all Bragg reflections with  $I/\sigma_I > 2$ , including all partially and fully recorded reflections. Each measurement was a single diffraction image of rotation width 1.0°.

To measure radiation damage as a function of beam size, repeated diffraction images of 1° rotation range were recorded from a single position on the crystal until the total diffracted intensity decayed by at least 10–20%. When a crystal was sufficiently large, multiple experiments were conducted on that crystal by translating a fresh volume of the crystal into the beam. Based on preliminary measurements of the spatial extent of damage, for the 3- $\mu$ m and smaller beam sizes the sample was translated at least 15  $\mu$ m between experiments, and for the 5- $\mu$ m and larger beams the sample was translated at least 30  $\mu$ m. The dose calculated using RADDOSE (24) is based on a stationary illuminated volume. During the 1° crystal rotation, fresh volume was swept into the beam and exposed volume was swept out of the beam, and thus the calculated dose is overestimated. A swept-volume correction was applied to the damage rate normalized for the calculated dose shown in Fig. 1B (Table S3; see S1 Text).

To measure the spatial extent of radiation damage, diffraction images were recorded with beams of dimensions 1.16  $\mu$ m  $\times$  1.18  $\mu$ m (H  $\times$  V, FWHM)

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at 15.1 keV and 0.88  $\mu m \times$  0.80  $\mu m$  (H  $\times$  V, FWHM) at 18.5 keV in a probeburn-probe mode with burn and probe positions offset in the horizontal or vertical direction by a specified distance (Fig. 2). Burn-probe sequences were repeated until the total diffracted intensity decayed by more than 50%. Each burn dose comprised 10 successive diffraction images over the same angular range as the probe images. Two probe-burn-probe protocols were used. In the contiguous-probe approach (Fig. 2A), an initial probe image was recorded for all positions of the desired pattern, the crystal was then translated back to the origin and subjected to a burn dose, diffraction images were recorded again at all probe positions, and the process was repeated several times. In the isolated-probe approach (Fig. 2B), the pattern was composed from individual burn/probe pairs in which a spatially isolated probe-(burn-probe)<sub>n</sub> sequence was performed for each distance. For each probe position, radiation damage was monitored as the fractional decay  $(\Delta_i)$  of the total diffracted intensity (I) and calculated for successive diffraction images as

$$\Delta_i = 1 - I_i / I_1, \tag{1}$$

where  $I_i$  and  $I_1$  are the total diffracted intensities of the ith and initial images at the probe position, respectively. Diffraction images in the initial burn series of each experiment were used to correct decay curves for radiation damage caused by measurement of the probe images themselves. The fractional intensity loss per image in the initial burn series was taken as a measure of probe damage and subtracted from  $\Delta_i$  to obtain a corrected fractional decay value.

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